Foreign Account Tax Compliance Act ("**FATCA**") and the Common Reporting Standard ("**CRS**") Tax Self-certification and Declaration Form – Natural Persons

Confidential

Please note: This form and relevant sections need to be completed by all natural persons constituting clients of Absa Group Limited group of companies.

What is the purpose of this form?

According to the FATCA and the CRS, Absa Bank as a financial institution has an obligation to collect Tax Self-certification from each client at onboarding. Every Absa Bank client needs to complete a Tax Self-certification form to attest to their tax residency or multiple tax residencies, to determine where to report the information of reportable persons with a reportable account as per FATCA/CRS, with the relevant tax authorities.

If you are a United States ("**US**") citizen or resident of the US for tax purposes under US Internal Revenue Service ("**IRS**") regulations, you should also provide a completed, signed and dated IRS Form W-9.

Please note: Absa Bank does not give tax advice to its clients. Please reach out to a tax adviser to define your tax residency status and other tax related queries.

All mandatory fields are indicated with an asterisk*.

Part 1: Accountholder's details

Title | *Family name or surname(s)

*First name(s) | *Date of birth (dd/mm/ccyy)

Identity/Passport/Social security/Employer identification number/Functional equivalent

Place of birth (City/Town) | *Country of birth

Permanent/Current residential address

***Residential address**

House number/Name/Street

Province, state or town | Postal code

Country

In-care mailing address (if applicable)

House number/Name/Street

Province, state or town | Postal code

Country

***Residence by investment** | Yes | No

*Country of residence by investment

☐ **Please tick (✓) this box if you are opening an SA account, you are solely an SA resident for tax purposes and you are not a US Person.**

(If you have ticked this box, you do not need to complete Part 2. Please continue straight to Part 3.)

Part 2: Tax residency/Citizenship information

*I hereby certify that the entity or organisation identified above is a resident of:

	*Country of tax residence and/or tax registration	*Tax Identification Number ("TIN")
1		
2		

Please note that it is mandatory to supply a TIN or functional equivalent if the country in which you are a tax resident issues such identifiers. If no TIN is available or has not yet been issued, please provide an explanation for this by ticking (✓) the applicable reason.

☐ **Reason A:** A TIN is not issued by the relevant jurisdiction.

☐ **Reason B:** The domestic law does not require the collection of a TIN.

☐ **Reason C:** I am otherwise unable to obtain a TIN or a functional equivalent number. Please explain why you are unable to obtain a TIN if you have selected this reason.

Part 3: Authorisations, certifications, declarations and signature

1 I authorise the recipient to provide a copy of this form, directly or indirectly:
   a) to any relevant tax authorities or any party mandated to audit or conduct a similar control of the recipient for tax purposes and to disclose to such tax authorities or such party any additional information that the recipient may have in its possession that is relevant to the person's qualification for any benefits claimed on the basis of this form; and
   b) information relating to the account(s) set out above, as required by law, to: i) any person that has control, receipt or custody of income to which this form relates; ii) any person that can disburse or make payments of income to which this form relates; or iii) any party authorised to audit or conduct a similar control of the aforementioned persons for tax purposes.

2 I acknowledge and agree that information contained in this form and information regarding income paid or credited to or for the benefit of the account(s) set out above, may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which the person is resident for tax purposes.

3 I agree that the information contained in this form (including information mentioned above) may be shared between Absa Group Limited entities to the extent required for tax reporting purposes.

4 I certify that the person named is the Beneficial Owner of all the income to which this form relates.

5 I undertake to notify the recipient of any change in circumstances that causes any certification on this form to become incorrect and to provide a suitably updated form within 30 (thirty) days of such change.

6 I declare that I have examined the information on this form and to the best of my knowledge and belief, it is true, correct and complete.

7 I certify that I have the capacity to sign for the person identified in Part 1 of this form.

8 Absa may request a W-8 or W-9 form from the client, should it be deemed necessary, as required by the FATCA per the Intergovernmental Agreement ("**IGA**") between the government of the US and the government of other countries.

9 The responsibility is with you, the client, to immediately inform Absa if there is a change in the information provided in this Tax Self-certification, as the change in the information will result in this Tax Self-certification being invalid, requiring an updated valid Tax Self-certification.

10 Please note that Absa cannot onboard a client unless a valid Tax Self-certification has been completed and provided.

11 For the purposes of taxation, I am a resident in the countries stated and my TIN/functional equivalent in each additional country has been provided or I have indicated that a TIN/functional equivalent is unavailable.

Privacy and security of clients' personal data

Absa Group Limited, including all its subsidiaries, having its head office at 15 Troye Street, Johannesburg, South Africa, is committed to maintaining the privacy and security of clients' personal data collected by us, whether in hard copy or electronic form.

Personal data is defined as information that allows us to identify you as a natural person. Absa Group is collecting this data to assess your application and if successful, provide you with the required products and/or services.

Unless otherwise specified, you need to provide all the personal data requested on this form. If you do not provide us with the required information, we will have to suspend your access to the product and/or service for a period of time or even terminate our relationship with you, as a client.

We may carry out further processing on your personal data for historical, research and statistical purposes or to comply with our legal obligations. We will not engage you in any electronic direct marketing (excluding telemarketing), unless you have given us the relevant consent.

We may also use your personal data for carrying out automated decisions that may impact you. If you are unhappy about the outcome of the decision, please contact your local customer service centre.

If you sign this on behalf of the accountholder: By your signature below: i) you certify that you are authorised to sign this form on behalf of the accountholder; and ii) undertake to notify the accountholder of the completion of this form and that their details may be reported to the tax authorities of the country in which they are resident for tax purposes.

I certify that I have read the definitions printed on form Absa 7791 EX.

Please indicate the capacity in which you have acted and provide your name and address here: *(Only applicable if acting on behalf of the accountholder.)*

Full name(s)	
Capacity	
Address	

Signature

Date (dd/mm/ccyy) ☐☐☐☐☐☐☐☐